

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jun Liu, et al.

Serial No.: 09/413,062

Examiner: Not Yet Assigned

Filing Date: October 4, 1999

Group Art Unit: 1762

Title: MESOPOROUS SILICA FILM FROM A SOLUTION CONTAINING A SURFACTANT
AND METHODS OF MAKING SAME

jc997 U.S. PTO
09/837885
04/18/01

Box DD
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$240 fee under 37 CFR 1.17 (p), or
 (After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with either:
☐ Statement under 37 CFR 1.97 (e),
☐ Applicant hereby petitions for the consideration of the accompanying information disclosure statement (37 C.F.R. §1.97(d)(ii).
☐ a \$130.00 petition fee set forth in 37 CFR 1.17 (i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO:

☐ COMMISSIONER OF PATENTS AND
TRADEMARKS, WASHINGTON D.C. 20231

☒ **Box DD**
ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON D.C. 20231

☐ ASSISTANT COMMISSIONER FOR
TRADEMARKS 2900 CRYSTAL DRIVE
ARLINGTON, VA 22202-3513

CN: 5-12-00
[Signature]

[Signature]
James G. Stewart
Reg. No. 32,496